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#### *Authors' contributions*

*This work was carried out in collaboration between all authors. Author AOE designed the study, performed the computations, arrangement the results, wrote the protocol and wrote the first draft of the manuscript. Author JSI supervised the analyses of the study. Author LO managed the literature searches. All authors read and approved the final manuscript.*

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### **ABSTRACT**

Based on the results of no-load tests carried out on a simplified Pico hydropower system currently undergoing development, on-load tests were carried out. A turbine of runner diameter 0.40 m, penstock diameters in the range 0.0381  $m \le D_p \le 0.0508$  m and nozzle area ratios in the range  $0.2 \le A_2/A_1 \le 0.6$  were used for the on-load tests. A 2.5 kW alternator was linked to the turbine using a v-belt drive on pulleys of ratio 6:1. The effective vertical height from the outlet of the reservoir to the plane of the turbine shaft was 6.95 m. A 0.74 kW electric pump was used to recycle the water to the overhead reservoir. The rotational speeds of the turbine and alternator shafts, the volume of water displaced and voltage were measured for each penstock diameter and nozzle area ratio. The measured data were used to compute the system volumetric flow rate and to estimate the power output based on the alternator manufacturer's specifications. The turbine efficiency was also computed. The system developed a maximum voltage of 224 V with  $D_p = 0.0508 m$  and a minimum voltage of 111 V with  $D_p = 0.0381$  m and  $A_2/A_1 = 0.6$ . The corresponding estimated power outputs

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were 2.125 kW and 1.545 kW respectively. The mean maximum and minimum efficiencies based on the estimated power output were 0.85 and 0.618 respectively. The overall results indicate very good potential for the system as a clean, decentralized small power generation unit that gives control to the user.

*Keywords: Estimated power; Pico hydropower; on-load tests; turbine runner diameter; penstock diameter; nozzle area ratio.*

# **1. INTRODUCTION**

The role of energy in the economic development of any nation cannot be overemphasized though its production and application can also affect the environment adversely [1-7]. The attainment of the Sustainable Development Goals (SDGs), especially by developing nations, largely depends on greater access to clean energy. Also, Nigeria's vision for a robust economy and society hangs on energy availability and sustainability [8-16]. However, the stark reality is that access to energy is very minimal as a result of a mix of several factors. Several rural electrification programmes have not been able to achieve the noble goals they were aimed at. There is hardly any functional energy supply system in Nigeria that operates near the installed capacity, and are frequently susceptible to limitations resulting from human and natural causes. Moreover, many of them are large with resultant low efficiencies and utilize energy resources that produce adverse effects on the environment. Apart from the adverse effect on the environment, these fossil resources are significantly depleting and sustainability cannot be guaranteed [17]. Exploration and transportation of new deposits also compound the negative effects on the environment such as oil spillage while escalating friction in the host communities. The need, therefore, exists for exploration of alternative sources and better utilization and management of existing ones [18- 25].

Globally, there is a clamour for the use of renewable energy sources rigorously. Interest is also growing in smarter, smaller and more decentralized energy systems which will utilize these renewable sources and the conventional ones more efficiently [26-33]. These systems convey more control to the end user, creating more sense of responsibility with regard to the maintenance and security of the system, especially with the prevalent activities of saboteurs as a result of terrorism and/or insurgency. Also, development of systems that generate the required power at or close to the point of the application has the potential of

mitigating attacks on supply structures, particular with the growing restiveness in host communities in developing countries like Nigeria partly due to economic imbalance and poverty resulting usually form perceived or actually unfavourable government policies. The need to maintain and protect the supply structure is also entirely eliminated [34-43].

Hydropower has numerous advantages over other renewable energy sources but the large schemes which are generally predominantly in use in many countries like Nigeria also pose a lot of environmental problems [44-55]. These include harm to aquatic animals and habitat, the possibility of enhancement of disease to the neighbouring communities, as well as the displacement of settlements [56-60]. Large to small hydro which depend on flowing water sources are affected by the hydrological cycle (seasonal fluctuation) which translates to blackouts and significant power outages at some periods of the year. Also, debris and silt blockages of turbine passages often arise which also affect power supply. Furthermore, there are concerns about increasing emissions from the hydropower reservoirs [61-65].

Research on very small and pumped storage hydro is gaining momentum. Pico-hydro power provides a very good option. It suits the general characteristics of smarter, smaller decentralized systems which can be utilized in locations where larger conventional systems cannot be optimally located [66-70]. It has become a very useful option in the Asian developing countries where the topography has imposed great natural barriers to the uptake of conventional gridconnected energy systems [71-76]. There are many sites suitable for Pico-hydro development in Nigeria as in many other African countries but the deliberate focus has not been given to its development by articulating realizable policies geared towards achieving solutions to the energy crisis [34]. For instance, no direct mention is made of Pico-hydro systems in the current aggressive efforts of the Federal Government to strengthen and/or resuscitate and make more effective the hydropower sector in Nigeria [24].

Moreover, it has been verified that seasonal fluctuations of water levels also affect the operation of the conventional Pico-hydro schemes. Low water levels do not allow optimal operation while very high ones can sweep the units away [69-71]. Developing a means of applying the advantages of hydropower while greatly minimizing the operational and natural shortcomings will be a step in the right direction. Hence, developing of a Pico-hydro system that does not require naturally flowing water becomes necessary.

This work is a study of a simplified Pico-hydro system that is a variant of the pumped hydro scheme which could be operated where there is no naturally flowing water by utilizing overhead water storage. Such a system will eliminate several of the issues that conventional hydropower systems have to contend with while retaining its substantial advantage as a system for power supply in the mould current renewable energy systems' best practices. It will be decentralized thereby conceding control to the user and reducing the risk of sabotage. The limitation imposed by seasonal variations of water levels on conventional Pico-hydro systems will be eliminated as well. The SDGs, as well as Nigeria's vision for a robust economy and society at large, will be enhanced on a general note. Farms and small and medium scale enterprises will be offered an ultimately cheaper and cleaner energy option over which more control will be had. Rural areas, particularly those without the naturally flowing water, can also have access to this energy option which will, in the long run, justify the perceived higher initial cost. The adverse effect of the use of other energy sources on the environment will reduce. The predominant situation in which saboteurs hold whole regions to ransom for some reason simply because access to the output or sources of centralized systems is within their immediate reach and/or control will be limited. An opportunity will be created for employment in terms of fabrication of the components of the system, installation and maintenance when necessary. This study itself is an aspect of a series of studies geared towards establishing the system for end-user status. The basic issues are therefore drawn from the earlier studies [77-84].

# **2. MATERIALS AND METHODS**

The basic conceptual design for this work was adapted [85]. Of the five locally fabricated turbines used so far, the one with runner diameter of 0.40 m was selected for the current study as it appears to have been more accurately fabricated. Along with it, three PVC pipes of diameters in the range  $0.0381 \text{ m} \leq D_n \leq$  $0.0508 \ m$  were used as penstocks along with nine nozzle area ratios in the range  $0.2 <$  $A_2/A_1 \leq 0.6$  for each. An average net head of 6.95 m was used. The turbine and alternator shafts were linked using a v-belt drive on a pair of pulleys mounted on each shaft in order to amplify the rotational speed of the turbine shaft  $(N<sub>r</sub>)$ . The pullevs were machined from cast aluminium blanks with the diameters of the driving and driven pulleys being about  $D_1 = 0.3$  m and  $D_2 = 0.05$  m. The diameters were fixed in order to get a ratio of 6:1. This was to ensure that the requirement for the rotational speed of the alternator to generate power was met considering the values of the maximum rotational speeds  $(N_{max})$  of the turbine shaft obtained during the no-load tests. This ratio was in excess of the actual value required but it was deliberately used to compensate for any resistive load that has to be overcome. The whole set up is shown in fig. 1 and an enlarged view of the components on the ground level in Fig. 2.

The water pump used for the on-load tests was a 0.74 kW Ocean type model JSW10M with rated maximum head and discharge of 45 m and 50<br>litres/minute respectively. The mean respectively. The mean experimental discharge was computed as 7.79 x  $10^{-4}$  m<sup>3</sup>/s by monitoring the water level in the underground reservoir for a period of time using a calibrated dip stick before and after each operation. For each of the penstocks and the corresponding nozzles, the rotational speed of the turbine shaft (N) was measured using the DT-2268 and DT-2858 Contact Type Digital Tachometer for each nozzle configuration and penstock diameter. The tachometers have a measurement range of 2.5 – 99,999 Rpm. The resolution is 1/1000 Rpm, accuracy  $\pm$  0.05% + 1 Rpm and photo detecting distance of 300 mm. They have memory capability of showing the last value, maximum value and minimum value, and a typical sampling time of 1 second. Also, the depth of water in the overhead reservoir was monitored using the calibrated dipstick. The voltage developed across the alternator was also measured using a Mastech Model MY-62 multimeter for each operation. The penstock diameters, nozzle area ratios and the resultant potential differences were related and useful empirical equations were developed. The power output was estimated using the manufacturer's *Edeoja et al.; JENRR, 1(1): 1-16, 2018; Article no.JENRR.41392*



**Fig. 1. The complete system set up of the system used for the Study**



**Fig. 2. Enlarged view of the 0.74 kW Pump, Turbine and Penstock**

information on the alternator [86]. This was done by comparing the measured voltage to the rated voltage and power of the alternator.

#### **3. RESULTS AND DISCUSSION**

Generally, the characteristic curves obtained for voltage developed and the penstock diameter as shown in Fig. 3 indicate an increase in voltage with increasing penstock diameter. As presented in the discussion for the no-load results, the rotational speed of the turbine shaft increases with penstock diameter, especially for the smaller nozzle area ratios. This results from a combination of lower head losses and higher flow

rates for larger penstock diameters and a more effective water jet creation by smaller nozzle area ratios. The tendency of the curves of the voltage increasing in a general sense with penstock diameter and decreasing nozzle area ratio indicates that potentially, larger penstock diameters can enhance the development of higher magnitudes of voltage [87-89]. This is especially indicated by the curve for  $A_2/A_1 = 0.4$ . These general relationships are shown in the figure tally with basic generator behaviour which shows that the voltage (or electromotive force) developed directly depends on the current flowing, flux, impedance, power generated and the speed of rotation of the shaft [90-93]. Hence, the various combinations of penstock diameters and nozzle area ratios that will produce high shaft rotational speeds are desirable for effective power generation using this system. The curve for  $A_2/A_1 = 0.4$  showed a slight deviation from those of the other two in terms of the curvature. This possibly was brought about by slight better fabrication and better alignments in installing the nozzles. These could have contributed to the different curvature. However, the curve adequately shows the general trend of the voltage increasing with  $D_p$ .

The limitation at this stage of the work is that penstock diameters outside the range  $D_p \geq$  $0.0508 m$  were not very compatible with the fabricated turbines in terms of blade aperture available for interaction with the water jet. Wider aperture blades could give better results with larger values of  $D_n$  since higher volumetric flow rates which are necessary for hydropower generation will be permitted. However, increasing the blade aperture infinitely could present some difficulties. The first one will be the reduction of the volume of the 'cup' profile of the blade adapted for the turbine used in this study which could adversely affect the torque developed by the turbine runner. Furthermore, the wider aperture may increase the drag on the runner as the blades rotate with the water in the casing which can also reduce the torque developed [94- 96]. The turbine can, however, be scaled up to accommodate larger values of  $D_n$  at the expense of additional cost. With further development of the system, however, very beneficial tradeoffs will hopefully be achieved.

The observations from the no-load tests which led to the recommendation of nozzle area ratios in the range  $0.6 \ge A_2/A_1 \ge 0.2$  as more compatible to the turbines were confirmed during the on-load tests. Fig. 4 highlights the dependence of the voltage developed with the voltage increases as  $A_2/A_1$  decreases. It corroborates the trend and implication of the results shown in Fig. 3. The curve for  $D_n =$  $0.0381m$  exhibited a tendency of reduction in voltage if  $A_2 / A_1$  were further decreased beyond 0.2. This shows that very small values of  $D_p$  as well as  $A_2 / A_1$  will not be beneficial for the good performance of the system since the voltage developed may be adversely affected. Also, the curve for  $D_p = 0.0381m$  occupied the lower portion among the curves confirming that the development of higher voltages is favored by the application of larger values of  $D_p$ [97-100].

The curves for  $D_p \geq 0.0445$  *m* both exhibit this tendency with the one for  $D_n = 0.0445$  m showing a steeper curvature upwards. This could have been as a result of the slightly more accurate alignment of the nozzle in directing the water jet on the blades. The figure indicates that for each  $D_p$ ,  $A_2/A_1 = 0.2$  enhanced the development of the highest voltage values of 150, 206 and 224 V for  $D_p = 0.0381$ , 0.0445 and 0.0508 m respectively. The use of nozzles in conventional hydropower turbine practice is indispensable and the observations in this work attest to that [73, 94, 101, 102]. It appears that the use of the range of values  $A_2/A_1 < 0.2$  could further enhance the production of higher voltage values. This is obvious from the fact that  $A_2/A_1 = 0.2$  gave the highest voltages for all  $D_p$ examined. Further stages of this work will consider only nozzles with  $A_2/A_1 < 0.2$  which will, however, be more delicate to fabrication requiring more precision work and as a result increasing fabrication costs. Generally, the plots also show more clearly that larger  $D_p$  support higher voltage values and hence, higher power generation capacity.



**Fig. 3. Variation of voltage developed with penstock diameter for the selected range of nozzle area ratios**

From the foregoing, the pair of  $D_p = 0.0508$  m and  $A_2/A_1 = 0.2$  is appropriate for potentially generating about 2.5 kW which is the minimum rating of the alternator used for this work at 224 V. Further scaling up of the turbine capacity to accommodate higher capacity generators is a target of future development of the system. However, maintaining such a supply steadily will require especially a better match between the value of  $D_n$  and the diameter of the supply pipe used for recycling the water. The diameter used for this work is 0.0254 m which translates to a supply pipe diameter to  $D_p$  ratio of 1:2. This means that the penstock discharges water nearly twice as fast as it is being recycled back to the overhead reservoir so that there is continuous variation in the head available to the turbine. The target ratio is 1:1, without compromising the desired result so that a reasonable and steady head can be maintained with the resultant effect of minimizing the fluctuation of the rotational speed of the turbine shaft  $(N<sub>r</sub>)$ . Another approach could be the introduction of multiple overhead reservoirs or a larger capacity one so that some degree of similarity can be established between the supply and discharge from the overhead reservoir. This will, in turn, stabilize the voltage developed and hence the power generated. At its current stage based on the results obtained so far, even the minimum voltage developed of 111 V corresponding to  $D_p = 0.0381 \, m$  and  $A_2/A_1 = 0.6$  can generate sufficient power to operate appliances with rated voltage of 110 V. Hence, the output as it is presently is strongly suitable for hybridization with solar power and/or the inclusion of suitable inverter systems that could enhance storage of the generated power for later use.

Fig. 5 shows the plots of the voltage developed against the rotational speed of the alternator

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shaft  $(N_A)$  for  $D_p = 0.0508$  m. This curve and the one for  $D_n = 0.0445 m$  had exponential trends with positive equal indices but slightly different coefficients. This means that they indicate that increasing shaft rotational speed favours increasing voltage as earlier established. The expressions were  $V = 67.638e^{0.0007N_A}$  and  $V = 56.963e^{0.0007N_A}$  . The difference in the coefficients shows that the larger diameter penstock will permit the development of higher voltage. Moreover, for a given nozzle area ratio as earlier asserted,  $N_A$  will be higher for the larger penstock diameters resulting in a higher exponential index. The relation for  $D_p = 0.0381m$ was, however, polynomial in nature though it also maintained the tendency of increasing voltage with  $N_A$ . All the curves like the one in Fig. 5 show the direct dependence of the voltage developed on  $N_A$ . The need to ensure the selection of parameters to ensure high values of  $N_A$  therefore exists in order to obtain a good system performance. Expectedly, the pair of  $D_p =$ 0.0508 m and  $A_2/A_1 = 0.2$  produced the highest value of  $N_A = 1821$  rpm.

When the values of  $A_2/A_1$  were considered instead of the values of  $D_p$ , the observation that smaller values of  $A_2/A_1$  influence the development of higher voltages in conjunction with larger values of  $D_p$ . This generally buttresses the observation that increasing  $N<sub>4</sub>$ should produce higher voltage values. Both observations show that a proper interaction of  $A_2/A_1$  and  $D_p$  is key to good system output as in conventional hydropower schemes because of the creation of the requisite values of  $N<sub>4</sub>$ .

Figs. 6 and 7 show the variation of  $Q_R$  and net head  $(H_n)$  product with  $A_2/A_1$  and  $D_p$ respectively. The product,  $Q_R H_n$ , is part of the

> $\bullet$  D=0.0508m  $D=0.0445m$



**Fig. 4. Variation of voltage developed with nozzle area ratios for three of the penstock diameters**



**Fig. 5. Variation of voltage developed with rotational speed for 0.0508 m penstock diameter and the range of nozzle area ratios selected**

expression for computing the power generated by a hydropower system. Its behaviour with these other system parameters could suggest an indication of the potentials of the system to generate power. The curves for all the values of  $D_p$  in Fig. 6 shows that  $Q_R H_n$  generally increases with increasing  $A_2/A_1$ . This derives from the fact already established from the discussion of the no-load tests results that smaller values of  $A_2/A_1$ support reduction in flow rate which then reduces  $Q_R H_n$ . From the general behaviour of the curves, if the flow rate and net head can be fixed for a system, then a clue as to the approximate nozzle area ratio required will be obtained which is beneficial in obtaining some other parameters. This agrees with the presentation of [86,96,97, 103-106] of an expression for determining the approximate diameter of the water jet required as  $D_{jet} = 0.54\left[\frac{C_d Q_{max}}{H_n}\right]^{0.5}$ , where are the coefficient of discharge and  $Q_{max}$  = maximum flow rate delivered by the nozzle. The curvature of the trend for  $D_p = 0.0381 \ m$  shows that small values of requires larger ranges of  $A_2/A_1$  to improve on the head loss characteristics. This is shown by the sharper increase in  $Q_R H_n$  for the larger ranges of  $A_2/A_1$ .

Fig. 7 corroborates the information obtained from Fig. 6 and shows that larger values of  $D_n$  favour increasing  $Q_R H_n$ . This is so because higher flow rates become involved with larger penstock diameters. The trend for  $A_2/A_1 = 0.2$  appears more linear than the other two. It portrayed the fact that small values of  $A_2/A_1$  can be complemented by larger  $D_p$  values in order to improve the product  $Q_R H_n$ . However, the transition is very gradual which explains the different trend for  $A_2/A_1 = 0.2$ . This shows that the magnitude of this product plays an important role in selecting appropriate matches of  $A_2/A_1$ and  $D_n$  in order to achieve the desired results with this system. This is in accordance with conventional hydropower practice in which the flow rate and the available head play very strategic roles in deciding on a site or modification of an existing facility.

The power output  $(P<sub>o</sub>)$  of the system was estimated using the manufacturer's specifications on shaft speed and maximum voltage for the alternator used for the study. The alternator used<br>was for an ELepaq gasoline generator was for an ELepaq (SPC3000E2) with rated voltage 230 W,



**Fig. 6. Variation of the volumetric flow rate and net head product with nozzle area ratio**



**Fig. 7. Variation of the volumetric flow rate and net head product with penstock diameter.**

frequency 50 Hz, output power 2.5 kW, maximum power 2.8 kW and power factor 1.0. Fig. 8 shows the plots of  $P_0$  against  $A_2/A_1$ . Expectedly, from the foregoing discussion as with the case of the shaft power during the noload tests,  $P_0$  decreases with  $A_2/A_1$  but this time more gradually and increases with increasing penstock diameter. Hence, higher power output is favored by smaller  $A_2 / A_1$  and larger  $D_p$  values. The trend for  $D_p = 0.0445 m$  appears slightly different due to losses encountered as a result of losses within the penstock which could have been different from those of the other two. Also, some slight leakages were experienced which could have added to the deviation. However, these and other possible issues permitted the trend to convey the general relationship between the estimated power and the nozzle area ratio.

The mean maximum estimated power generated by the system was 2.125 kW for  $D_n = 0.0508$  m and the minimum value was 1.545 kW for  $D_n = 0.0381 \, m$  . Theoretically, this power is adequate to run the pump used for recycling the water and supply the balance to the point of application. However, it is not adequate for that purpose since the power required to start the 0.74 kW pump used is much higher than 0.74 kW. Hence, in order to achieve the self-running status for the system, the turbine must be scaled up to generate higher power or some other source such as solar power can be used to run the pump through a hybrid system arrangement [29, 38].

Fig. 9 shows the variation of the system efficiency with  $A_2/A_1$ . Higher efficiencies are supported by smaller values of  $A_2/A_1$ . This arises from the fact that they create more effective jets which produce higher shaft rotational speeds. The figure resembles Fig. 46 which is because efficiency was computed from the output power data. This means that the explanation in the previous section of the curve for  $D_n = 0.0445$  m still holds here. Hence, higher efficiency machines require that the penstock diameter is large enough and that the nozzle area ratio is reduced as low as possible.

It is worthy of note here that the efficiency values obtained from the on-load data were higher than the respective ones obtained from the no-load data. For instance, for  $D_p = 0.05098 m$ , the mean no-load efficiency was 0.629 while the corresponding value for the on-load was 0.85. This is because of the magnification of the turbine shaft revolution nearly six times by using the pulley and belt drive. Undoubtedly, some



**Fig. 8. Variation of estimated on-load system power output with Nozzle area ratio for the three Penstock diameters**

mechanical losses may have been introduced but by and large the overall efficiency was improved. This value for the on-load tests compares well with 0.89 reported for singlenozzle Pelton wheels, being lower by only about 4%. However, with larger penstock diameters, the mean system efficiency could still be improved [86,107,108-109]. Considering the fact that this system is a departure from usual conventional turbine practice and that all the principles applied were developed for conventional systems, further modifications can bring more to light on the potentials of this system.

Fig. 10 shows an attempt to formulate an empirical expression of the estimated power output as a function of the product of the flow rate and net product on the basis of the penstock diameter 0.0508 m. The figure is similar to the ones for the other two penstock diameters. The empirical expressions are shown in Table 1. The first three on the table were obtained on the basis of penstock diameters. The curves have

negative indices which generally increase with penstock diameter confirming the potentials of larger diameters for higher power generation.

Some other expressions of the estimated power output as a function of  $Q_R H_n$  were also formulated based on the nozzle area ratio. These ones all have positive indices which steadily reduce and the coefficients also decrease with increasing  $A_2 / A_1$  indicative of the reduction of power generation potential. The expressions so obtained will be useful for further attempts to raise the performance of this system to selfrunning status.

As with the no-load data, the nozzle area ratio was chosen as the independent parameter. Figs. 11 to 13 show the variation of the flow rate and estimated power output with the nozzle area ratio. The curves for the penstock diameters were similar to the ones plotted for the no-load data and can hence be used to determine trial values of the parameters of the system for future modifications.



**Fig. 9. Variation of on-load system efficiency with nozzle area ratio for the three penstock diameters**











**Fig. 11. Variation of flow rate and power output with nozzle area ratio for 0.0508 m penstock diameter**



**Fig. 12. Variation of flow rate and power output with nozzle area ratio for 0.0445 m penstock diameter**



**Fig. 13. Variation of flow rate and power output with nozzle area ratio for 0.0381 m penstock diameter**

# **4. CONCLUSION**

The performance of the simple Pico hydro system shows good promise towards actual implementation as a source of clean, decentralized and user-controlled energy with all the attendant benefits. However, there are several issues that still need to be addressed to arrive at this goal. They form the nucleus of immediate further aspects of this ongoing work. Such aspects include but are not limited to the utilization of solar power for the recycling of water and investigation of hybridization potentials, the investigation of the pump as turbine (PAT) option, scaling up the system for self-powering status and including locally developed generating and step up units.

# **COMPETING INTERESTS**

Authors have declared that no competing interests exist.

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